

E1: Designated Persons and Non-Guernsey Administrators

<p>67% Completed</p> <p>Start Statistical Return Declaration</p> <p>* = mandatory field</p> <p>Gross Assets Under Management This means the gross asset value of all managed accounts held by the licensee. This will include both discretionary and non-discretionary managed accounts. It does not include execution only type business. Show More...</p> <p>Assets Under Regulated Activity (in Sterling) *</p> <input type="text"/> <p>Turnover of Stockbroking Activities This means the value of stockbroking activities undertaken by the licensee. For the purposes of this form this includes the total consideration, including commissions, relating to these activities.</p> <p>Turnover of Stockbroking Activities (in Sterling) *</p> <input type="text"/> <p>Other</p> <p>Number of funds *</p> <input type="text"/> <p>Number of staff *</p> <input type="text"/> <p><< Previous My GFSC Print Form Validate Save Next >></p>	<p>This means the total value of all Category 1 and Category 2 business conducted by the Licensee.</p>
<p>67% Completed</p> <p>Start Statistical Return Declaration</p> <p>* = mandatory field</p> <p>Gross Assets Under Management This means the gross asset value of all managed accounts held by the licensee. This will include both discretionary and non-discretionary managed accounts. It does not include execution only type business. Show More...</p> <p>Assets Under Regulated Activity (in Sterling) *</p> <input type="text"/> <p>Turnover of Stockbroking Activities This means the value of stockbroking activities undertaken by the licensee. For the purposes of this form this includes the total consideration, including commissions, relating to these activities.</p> <p>Turnover of Stockbroking Activities (in Sterling) *</p> <input type="text"/> <p>Other</p> <p>Number of funds *</p> <input type="text"/> <p>Number of staff *</p> <input type="text"/> <p><< Previous My GFSC Print Form Validate Save Next >></p>	<p>This means the total number (rather than value) of Funds, either regulated Guernsey schemes or Non-Guernsey schemes, with which the Licensee is contracted.</p>
<p>67% Completed</p> <p>Start Statistical Return Declaration</p> <p>* = mandatory field</p> <p>Gross Assets Under Management This means the gross asset value of all managed accounts held by the licensee. This will include both discretionary and non-discretionary managed accounts. It does not include execution only type business. Show More...</p> <p>Assets Under Regulated Activity (in Sterling) *</p> <input type="text"/> <p>Turnover of Stockbroking Activities This means the value of stockbroking activities undertaken by the licensee. For the purposes of this form this includes the total consideration, including commissions, relating to these activities.</p> <p>Turnover of Stockbroking Activities (in Sterling) *</p> <input type="text"/> <p>Other</p> <p>Number of funds *</p> <input type="text"/> <p>Number of staff *</p> <input type="text"/> <p><< Previous My GFSC Print Form Validate Save Next >></p>	<p>This means the total number of staff for Licensees with a physical presence in Guernsey.</p>

E2: Asset Managers

<p>Start Statistical Return Declaration</p> <p>* = mandatory field</p> <p>Gross Assets Under Management This means the gross asset value of all managed accounts held by the licensee. This will include both discretionary and non-discretionary managed accounts. It does not include execution only type business. Show More..</p> <p>Assets Under Regulated Activity (in Sterling)* <input type="text"/></p> <p>Assets under management for top client * <input type="text"/></p> <p>Assets under management for 2nd client * <input type="text"/></p> <p>Assets under management for 3rd client * <input type="text"/></p> <hr/> <p>Turnover of Stockbroking Activities This means the value of stockbroking activities undertaken by the licensee. For the purposes of this form this includes the total consideration, including commissions, relating to these activities.</p> <p>Turnover of Stockbroking Activities (in Sterling) * <input type="text"/></p> <hr/> <p>Number of Accounts This includes the number of clients with which the Licensee has an agreement to provide investment business services within the scope of the licence issued under the Protection of Investors (Bailiwick of Guernsey) Law, 1987 "the POI Law". Show More..</p> <p>Number of Clients * <input type="text"/></p>	<p>This means the total value of all Category 1 and Category 2 business conducted by the Licensee.</p>
<p>Start Statistical Return Declaration</p> <p>* = mandatory field</p> <p>Gross Assets Under Management This means the gross asset value of all managed accounts held by the licensee. This will include both discretionary and non-discretionary managed accounts. It does not include execution only type business. Show More..</p> <p>Assets Under Regulated Activity (in Sterling)* <input type="text"/></p> <p>Assets under management for top client * <input type="text"/></p> <p>Assets under management for 2nd client * <input type="text"/></p> <p>Assets under management for 3rd client * <input type="text"/></p> <hr/> <p>Turnover of Stockbroking Activities This means the value of stockbroking activities undertaken by the licensee. For the purposes of this form this includes the total consideration, including commissions, relating to these activities.</p> <p>Turnover of Stockbroking Activities (in Sterling) * <input type="text"/></p> <hr/> <p>Number of Accounts This includes the number of clients with which the Licensee has an agreement to provide investment business services within the scope of the licence issued under the Protection of Investors (Bailiwick of Guernsey) Law, 1987 "the POI Law". Show More..</p> <p>Number of Clients * <input type="text"/></p>	<p>'Client' in this context means the entity/individual with which the Licensee has a contractual relationship. Please see examples below: -</p> <p>For a GP this would be the LP</p> <p>For any other Licensee, regardless of whether or not it has a physical presence or is administered, this could be an individual or a fund, either regulated Guernsey schemes or Non-Guernsey schemes, or any other legal entity such as, for example, a trust, company or partnership. It is any party with whom the Licensee is contracted and for which it provides a service.</p> <p>Further to the definition of 'Client', above, this is the top three relationships, in value terms, which the Licensee has. If the Licensee only has one client relationship, for example in the case of the GP/LP structure then the Licensee should only complete for top client and place zero '0' in the following two boxes.</p>
<p>Start Statistical Return Declaration</p> <p>* = mandatory field</p> <p>Gross Assets Under Management This means the gross asset value of all managed accounts held by the licensee. This will include both discretionary and non-discretionary managed accounts. It does not include execution only type business. Show More..</p> <p>Assets Under Regulated Activity (in Sterling)* <input type="text"/></p> <p>Assets under management for top client * <input type="text"/></p> <p>Assets under management for 2nd client * <input type="text"/></p> <p>Assets under management for 3rd client * <input type="text"/></p> <hr/> <p>Turnover of Stockbroking Activities This means the value of stockbroking activities undertaken by the licensee. For the purposes of this form this includes the total consideration, including commissions, relating to these activities.</p> <p>Turnover of Stockbroking Activities (in Sterling) * <input type="text"/></p> <hr/> <p>Number of Accounts This includes the number of clients with which the Licensee has an agreement to provide investment business services within the scope of the licence issued under the Protection of Investors (Bailiwick of Guernsey) Law, 1987 "the POI Law". Show More..</p> <p>Number of Clients * <input type="text"/></p>	<p>Further to the definition of 'Client', above, this is the total number (rather than value) of client relationships which the Licensee has.</p>

E3: Investment Registrars

<p>Start Statistical Return Declaration</p> <p>* = mandatory field</p> <p>Gross Assets Under Management</p> <p>This means the gross asset value of all managed accounts held by the licensee. This will include both discretionary and non-discretionary managed accounts. It does not include execution only type business. Show More..</p> <p>Assets Under Regulated Activity (in Sterling)* <input type="text"/></p> <p>Assets responsible for top client * <input type="text"/></p> <p>Assets responsible for 2nd client * <input type="text"/></p> <p>Assets responsible for 3rd client * <input type="text"/></p> <hr/> <p>Turnover of Stockbroking Activities</p> <p>This means the value of stockbroking activities undertaken by the licensee. For the purposes of this form this includes the total consideration, including commissions, relating to these activities.</p> <p>Turnover of Stockbroking Activities (in Sterling) * <input type="text"/></p>	<p>This means the total value of all Category 1 and Category 2 business conducted by the Licensee.</p>
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E4: Other Investment Licensees

<p>Start Statistical Return Declaration</p> <p>* = mandatory field</p> <p>Turnover of Stockbroking Activities</p> <p>This means the value of stockbroking activities undertaken by the licensee. For the purposes of this form this includes the total consideration, including commissions, relating to these activities.</p> <p>Turnover of Stockbroking Activities (in Sterling) *</p> <input type="text"/> <hr/> <p>Number of Accounts</p> <p>This includes the number of clients with which the Licensee has an agreement to provide investment business services within the scope of the licence issued under the Protection of Investors (Bailiwick of Guernsey) Law, 1987 "the POI Law". Show More..</p> <p>Number of Clients *</p> <input type="text"/>	<p>'Client' in this context means the entity/individual with which the Licensee has a contractual relationship. Please see examples below: -</p> <p>For a GP this would be the LP</p> <p>For any other Licensee, regardless of whether or not it has a physical presence or is administered, this could be an individual or a fund, either regulated Guernsey schemes or Non-Guernsey schemes, or any other legal entity such as, for example, a trust, company or partnership. It is any party with whom the Licensee is contracted and for which it provides a service.</p> <p>Further to the definition of 'Client', above, this is the total number (rather than value) of client relationships which the Licensee has.</p>
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